

Docket No.

PAGE - 1

In re application of: **Walter H. Runkis**

Attorney Docket No.: **N/A**

Application No.: **to be assigned**

Filed: **June 13, 2001**

**Title: COMPOSITION FOR TREATING CELLS AND METHOD FOR
QUALITATIVELY AND QUANTITATIVELY CUSTOMIZING THE
FORMULATION THEREOF**

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY
STATUS (37 CFR §§ 1.9 (f) and 1.27 (b))
INDEPENDENT INVENTOR**

As a below named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR § 1.9 (c) for purposes of paying reduced fees under §§ 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled: **"COMPOSITION FOR TREATING CELLS AND METHOD FOR QUALITATIVELY AND QUANTITATIVELY CUSTOMIZING THE FORMULATION THEREOF"**, described in

☒ the specification filed herewith **consisting of 40 pages, 20 Claims, Abstract, 1 sheet of drawings (1 Fig.)**.

☐ application Serial No. _____, filed _____

☐ Patent No. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR § 1.9 (c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR § 1.9 (d) or a nonprofit organization under 37 CFR § 1.9 (e).

Each person, concern or organization to which I have assigned, granted, conveyed or licensed or am under an obligation under contract or law to assign, grant, convey or license any rights in the invention is listed below:

☒ no such person, concern or organization

☐ persons, concerns or organization listed below

NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR § 1.27)

0980322-061301

FULL NAME: **Walter H. Runkis**

ADDRESS: **78 Lantern Drive, Monroe, CT 06468**


☒ Individual ☐ Small Business Concern ☐ Nonprofit Organization

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR § 1.28 (b)).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under § 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF INVENTOR

Walter H. Runkis


Signature of Inventor

Date: **June 13, 2001**

09800322-061301

FOR THE RECORD

4

to

SPECIFICATION IDENTIFICATION

the specification of which:

(a) ☒ is attached hereto (**consisting of 40 pages, 20 Claims, Abstract, 1 sheet of drawings (1 Fig.)**).

(b) ☐ was filed on _____ as ☐ Serial No. 0 / _____
or ☐ Express Mail No. _____, as Serial No. not yet known
and was amended on _____ (if applicable)

(c) ☐ was described and claimed in PCT International Application No. _____
filed on _____ and as amended under PCT Article 19 on (if any)

ACKNOWLEDGEMENT OF REVIEW OF PAPERS AND DUTY OF CANDOR

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred above.

I acknowledge the duty to disclose information

☒ which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56.

(also check the following items, if desired)

☒ and which is material to the examination of this application, namely, information where there is a substantial likelihood that a reasonable examiner would consider it important in deciding whether to allow the application to issue to a patent, and

☒ In compliance with this duty there is attached an information disclosure statement in accordance with 37 CFR 1.96.

PRIORITY CLAIM (35 U.S.C. § 119)

I hereby claim foreign priority benefits under Title 35, United States Code, § 119 of any foreign application(s) for patent or inventor's certificate or of any PCT International applications(s) designating at least one country other than the United States of America listed below and have also identified any foreign application for patent or inventor's certificate or any PCT International application(s) designating at least one country other than the United States of America filed by me on the same subject matter having a filing date before that of the application(s) on which priority is claimed.

(d) ☒ no such applications have been filed.

(e) ☐ such applications have been filed as follows:

**A PRIOR FOREIGN/PCT APPLICATION(S) FILED WITHIN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION
AND ANY PRIORITY CLAIMS UNDER 35 U.S.C. § 119**

Prior Foreign Application(s) Priority Claimed: Yes ☐ No ☒

No. (Number) (Country) (Day/Month/Year Filed)

**ALL FOREIGN APPLICATION(S), IF ANY FILED MORE THAN 12 MONTHS
(6 MONTHS FOR DESIGN) PRIOR TO THIS U.S. APPLICATION**

I hereby claim the benefit under Title 35 United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

(Application Number) (Filing Date) (Status - patented, pending, abandoned)

098607-10

Attorney's Docket No. N/A

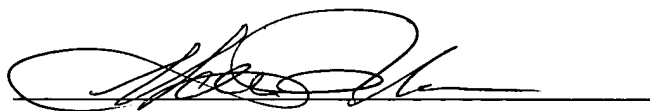
PATENT

SIGNATURE(S)

Full name of inventor(s) (first name, last name):

Walter H. Runkis

Sole Inventor's signature:

A handwritten signature in black ink, appearing to read 'Walter H. Runkis', is written over a horizontal line.

Date: **June 13, 2001**

Residence: **USA**

Citizenship: **USA**

Post Office Address:

**Walter H. Runkis
78 Lantern Drive
Monroe, CT 06468**

09880322-061301
T06T90-22E08860